

# ONE WEALTH CAPITAL MANAGEMENT, LLC

## PRIVACY POLICY

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. All financial companies need to share clients' personal information to run their everyday business. One Wealth Capital Management, LLC ("One Wealth") is providing this notice. In the section below, One Wealth will list the reasons financial companies can share their clients' personal information; the reasons One Wealth chooses to share and whether you can limit this sharing. Please read this notice carefully to understand our policy. The following document outlines what One Wealth does with your personal information. The types of personal information we collect and share depends on the product or service you have with us. This information can include, but is not limited to:

- Social Security number and income;
- Assets and transaction history; and
- Investment experience and risk tolerance.

If you are a new client, we can begin sharing your information from the date we sent this notice. When you are no longer our client, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing by calling our main office at 855-663-9584.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	YES	NO
<b>For joint marketing with other financial companies</b>	NO	N/A
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	YES	NO
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	NO	N/A
<b>For our affiliates to market to you</b>	YES	YES
<b>For non-affiliates to market to you</b>	NO	N/A

One Wealth has the following Affiliated Entities related by common ownership or control: None.

One Wealth has the following Non-Affiliated Entities related by common ownership or control: None.

One Wealth has the following Joint Marketing Relationships where a formal agreement is in place with nonaffiliated financial companies that together market financial products or services to you: None.

### **Frequently Asked Questions:**

*How does One Wealth protect my information?*

To protect your personal information from unauthorized access and use, we restrict access to your nonpublic personal information to those employees who need to know that information to service your account. We also maintain physical, electronic, and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

*How does One Wealth collect my personal information?*

We collect your personal information, for example, when you: open an account or give us contact information; enter an investment adviser contract or give us your income information; tell us about your investment or retirement portfolio. We also collect your personal information from other companies.

*Why can't I limit all sharing?*

Federal law gives you the right to limit only: sharing for affiliates' everyday business purposes—information about your creditworthiness; affiliates from using your information to market to you; sharing for non-affiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.

### **Other Important Information:**

By signing the One Wealth Agreement, I acknowledge that I have fully read and understand this Privacy Policy and opt-in as outlined above. I understand that if I have any questions or concerns about this policy, it is my responsibility to discuss this with my financial professional.