



One Wealth Capital Management, LLC

4900 North Scottsdale Road Suite 4900  
Scottsdale, AZ 85251  
855-663-9584

## Part 2B Brochure for Jeremy Paul Dicker

May 18, 2024

This brochure supplement provides information about One Wealth Capital Management, LLC, which markets their business under the name One Wealth Management, (One Wealth) that supplements our brochure. You should have received a copy of that brochure. Please contact us at 855-663-9584 you did not receive One Wealth's brochure or if you have any questions about the contents of this supplement. Additional information about Jeremy Paul Dicker. is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 2706452.

May 2024  
One Wealth Capital Management, LLC

## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Jeremy Paul Dicker

**Year of Birth:** 1977

**Education:** High School Diploma  
1995

### **Business Background:**

One Wealth Capital Management, LLC  
Chief Executive Officer, 4/2024 – Present

MassMutual Life  
Insurance Agent, 11/2023-Present

One Wealth Management Financial and Insurance Services, Inc.  
Owner, Insurance Broker, 12/2015-Present

One Wealth Management Investment and Advisory Services, LLC  
Chief Executive Officer, 9/2023 – 6/2024

One Wealth Management Investment and Advisory Services, LLC  
Investment Advisor Representative, 7/2023-6/2024

Guardian Life Insurance Company  
Insurance Broker, 12/2018-6/2023

Park Avenue Securities, LLC  
Registered Representative, 12/2018-6/2023

## ITEM 3 - DISCIPLINARY INFORMATION

Jeremy Dicker does not have a history of any investment related legal or disciplinary events that may deem to be material to a client's consideration of Jeremy Dicker to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Jeremy Dicker <https://brokercheck.finra.org/>

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Jeremy Dicker is a licensed insurance agent through One Wealth Management Financial and Insurance Services, Inc., an insurance agency. From time, Jeremy Dicker will earn an ordinary and customary commission from the sale of an insurance product in such capacity. This creates a conflict of interest, because Jeremy Dicker has the potential to earn both an insurance commission and advisory fee revenue from a client. Jeremy Dicker addresses this conflict of interest by fully disclosing his relationship with the applicable insurance provider, and informing clients that they are under no obligation to purchase an insurance product through him.

Jeremy Dicker is actively engaged with ONE Wine Consulting, LLC, for which he devotes approximately 10 hours per month.

## ITEM 5 - ADDITIONAL COMPENSATION

Jeremy Dicker does not receive additional compensation beyond the scope of Item 4 listed above.

May 2024  
One Wealth Capital Management, LLC

**ITEM 6 - SUPERVISION**

Jeremy Dicker is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.



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## Part 2B Brochure for Timothy Jerald Pearce, CFP<sup>®</sup>

May 18, 2024

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May 2024  
One Wealth Capital Management, LLC

## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Timothy Jerald Pearce

**Year of Birth:** 1990

**Education:** Wheaton College  
Master of Arts, History; 2015

### **Financial Designations:**

#### **Certified Financial Planner (CFP®)**

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. In order to earn and maintain the CFP® designation, individuals must meet the initial certification components of (i) education relevant to the professional, competent, and ethical provision of financial planning services, (ii) pass the certification examination, (iii) attain three years of professional experience, (iv) pass a background check and disclose certain occurrences, (v) complete thirty hours of continuing education every two years, (vi) submit a certification application every two years, and (vii) pay an annual certification fee. Further information about the qualifications and standards required of a CFP® may be found by visiting the CFP® Board of Standard's website at <http://www.cfp.net/>.

#### **Retirement Income Certified Professional (RICP®)**

*Minimum Qualifications for the Retirement Income Certified Professional (RICP®) helps clients obtain a secure retirement. This designation equips advisors with the knowledge to effectively manage the transition from asset accumulation during a client's working years to asset decumulation in retirement. RICP® enables the advisor to demonstrate tremendous value by delivering smart strategies for creating secure, sustainable income for a client's retirement. To receive the RICP® designation, one must successfully complete three courses, meet experience requirements, and agree to comply with The American College Code of Ethics and Procedures. Participation in the Professional Recertification Program is also required.*

### **Business Background:**

One Wealth Capital Management, LLC  
Senior Vice President, 4/2024 – Present

MassMutual Life  
Insurance Agent, 11/2023-Present

One Wealth Management Investment and Advisory Services, LLC  
Senior Vice President 7/2023-5/2024

Park Avenue Securities  
Registered Representative, 3/2019-6/2023

Guardian Life Insurance Company  
Agent, 5/2019-6/2023

Northwestern Mutual Wealth Management Company  
Representative, 8/2016-5/2019

Northwestern Mutual Investment Services LLC  
Registered Representative, 1/2015-5/2019

Northwestern Mutual Life Insurance Company  
Agent, 6/2015-5/2019

### **ITEM 3 - DISCIPLINARY INFORMATION**

Timothy Pearce does not have a history of any investment related legal or disciplinary events that may be material to a client's consideration of Timothy Pearce to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Timothy Pearce <https://brokercheck.finra.org/>

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Timothy Pearce is a licensed insurance agent through One Wealth Management Financial and Insurance Services, Inc., an insurance agency. From time, Timothy Pearce will earn an ordinary and customary commission from the sale of an insurance product in such capacity. This creates a conflict of interest, because Timothy Pearce has the potential to earn both an insurance commission and advisory fee revenue from a client. Timothy Pearce addresses this conflict of interest by fully disclosing his relationship with the applicable insurance provider, and informing clients that they are under no obligation to purchase an insurance product through him.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Timothy Pearce does not receive additional compensation beyond the scope of his role as your investment adviser representative.

### **ITEM 6 - SUPERVISION**

Timothy Pearce is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.



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## Part 2B Brochure for Ryan Tomas Cleary

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## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Ryan Tomas Cleary

**Year of Birth:** 1982

**Education:** W.P. Carey School of Business, Arizona State University  
Bachelor in Finance, 2008

### **Business Background:**

One Wealth Capital Management, LLC  
Managing Director, Investments, 4/2024 – Present

One Wealth Management Investment and Advisory Services, LLC  
Managing Director, Investments 7/2023-5/2024

Park Avenue Securities, LLC  
Registered Representative, 12/2018-6/2023

Park Avenue Securities, LLC  
Sales Assistant, 2/2020-9/2022

Mass Mutual Life Insurance Company  
Registered Sales Assistant, Dec 2012 – Nov 2018

MML Investors Services, LLC  
Registered Sales Assistant, Dec 2012 – Nov 2018

## ITEM 3 - DISCIPLINARY INFORMATION

Ryan Cleary does not have a history of any investment related legal or disciplinary events that may deem to be material to a client's consideration of Ryan Cleary to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Ryan Cleary <https://brokercheck.finra.org/>

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Ryan Cleary has no other business activities to report.

## ITEM 5 - ADDITIONAL COMPENSATION

Ryan Cleary does not receive additional compensation beyond the scope of his role as your investment adviser representative. Ryan Cleary is employed by One Wealth Management Financial and Insurance Services, Inc., an insurance agency under common control with One Wealth Capital Management, LLC.

## ITEM 6 - SUPERVISION

Ryan Cleary is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.





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## Part 2B Brochure for Brandon Wells Tesar

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## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Brandon Wells Tesar

**Year of Birth:** 1979

**Education:** New York University  
B.F.A. in Theater, History, Psychology; 2001

### Business Background:

One Wealth Capital Management, LLC  
Director of Investment Operations and & Financial Planning, 4/2024 - Present

One Wealth Management Investment and Advisory Services, LLC  
Director of Investment Operations and & Financial Planning, 7/2023 – 5/2024

Actor, Director, Writer, Assistant  
Self Employed, 1/2000 - Present

Agent  
Guardian Life, 12/2018-6/2023

Park Avenue Securities, LLC  
Registered Representative, 12/2018-6/2023

One Wealth Management  
Director of Financial Planning, 4/2016-6/2023

MML Investors Services LLC  
Registered Representative, 10/2012-12/2018

MassMutual Life Insurance Company  
Agent/Sales Assistant, 5/2012-12/2016

## ITEM 3 - DISCIPLINARY INFORMATION

Brandon Tesar has a disciplinary history of legal or disciplinary events that can be found at (<https://adviserinfo.sec.gov/individual/summary/6126593>). The history was not deemed to be reportable on this disclosure document per the ADV 2B instructions. FINRA's BrokerCheck® is also a resource available to review the disciplinary history of Brandon Tesar. <https://brokercheck.finra.org/>

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Brandon Tesar is a licensed insurance agent and from time to time will earn an ordinary and customary commission from the sale of an insurance product in such capacity. This creates a conflict of interest, because Brandon Tesar has the potential to earn both an insurance commission and advisory fee revenue from a client. Brandon Tesar addresses this conflict of interest by fully disclosing his relationship with the applicable insurance provider, and informing clients that they are under no obligation to purchase an insurance product through him.

Brandon Tesar is employed by One Wealth Management Financial And Insurance Services, Inc., an insurance agency under common control with One Wealth Capital Management, LLC.

**ITEM 5 - ADDITIONAL COMPENSATION**

Brandon Tesar does not receive additional compensation beyond the scope of his role as your investment adviser representative.

**ITEM 6 - SUPERVISION**

Brandon Tesar is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.



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## Part 2B Brochure for Thomas Andrew Hughes

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May 2024  
One Wealth Capital Management, LLC

## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Thomas Andrew Hughes

**Year of Birth:** 1981

**Education:** California State University  
Bachelors in Business Administration, 2005

### **Business Background:**

One Wealth Capital Management, LLC  
Senior Wealth Financial Analyst, 4/2024 – Present

One Wealth Management Investment and Advisory Services, LLC  
Senior Wealth Financial Analyst, 7/2023-5/2024

Guardian Life Insurance Company  
Agent, 10/2022-7/2023

Park Avenue Securities, LLC  
Registered Representative, 10/2022-7/2023

Guardian Life Insurance Company  
Sales Assistant, 2/2020-9/2020

Park Avenue Securities, LLC  
Sales Assistant, 2/2020-9/2020

Automatic Data Processing  
Senior Financial Analyst, 5/2017-7/2017

## ITEM 3 - DISCIPLINARY INFORMATION

Thomas Hughes does not have a history of any investment related legal or disciplinary events that may deem to be material to a client's consideration of Thomas Hughes to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Thomas Hughes <https://brokercheck.finra.org/>

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Thomas Hughes has no other business activities to report.

## ITEM 5 - ADDITIONAL COMPENSATION

Thomas Hughes does not receive additional compensation beyond the scope of his role as your investment adviser representative.

Thomas Hughes is employed by One Wealth Management Financial And Insurance Services, Inc., an insurance agency under common control with One Wealth Capital Management, LLC.

**ITEM 6 - SUPERVISION**

Thomas Hughes is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera, who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.



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## Part 2B Brochure for Peter Harry Hoopis

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May 2024  
One Wealth Capital Management, LLC

## ITEM 2 - EDUCATIONAL BACKGROUND AND EDUCATIONAL EXPERIENCE

**Name:** Peter Harry Hoopis

**Year of Birth:** 1976

**Education:** The American College of Financial Services  
CLU, Insurance, Financial Consultant, Leadership; 2010

University of Denver - Daniels College of Business  
Bachelor of Science (BS) Finance and Marketing, 1999

### Business Background:

One Wealth Capital Management, LLC  
President, 5/2024 – Present

One Wealth Management Investment and Advisory Services, LLC  
President, 9/2023-5/2024

Park Avenue Securities LLC  
Registered Representative, 03/2021-06/2023

Guardian Life Insurance Company  
Agent, 3/2021-4/2022

Eagle Strategies LLC  
Investment Adviser Representative, 6/2020-3/2021

NY LIFE Securities LLC  
Registered Representative, 05/2020-06/2021

MML Investors Services, LLC  
General Agent, 06/2012-02/2020

## ITEM 3 - DISCIPLINARY INFORMATION

Peter Hoopis does not have a history of any investment related legal or disciplinary events that may deem to be material to a client's consideration of Peter Hoopis to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Peter Hoopis <https://brokercheck.finra.org/>

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Peter Hoopis is a licensed insurance agent through One Wealth Management Financial and Insurance Services, Inc., an insurance agency. From time, Peter Hoopis will earn an ordinary and customary commission from the sale of an insurance product in such capacity. This creates a conflict of interest, because Peter Hoopis has the potential to earn both an insurance commission and advisory fee revenue from a client. Peter Hoopis addresses this conflict of interest by fully disclosing his relationship with the applicable insurance provider, and informing clients that they are under no obligation to purchase an insurance product through him.



Peter Hoopis is employed by One Wealth Management Financial and Insurance Services, Inc., an insurance agency under common control with One Wealth Capital Management, LLC.

**ITEM 5 - ADDITIONAL COMPENSATION**

Peter Hoopis does not receive additional compensation beyond the scope of his role as your investment adviser representative.

**ITEM 6 - SUPERVISION**

Peter Hoopis is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Ericka Herrera who is responsible for administering the policies and procedures. As Chief Compliance Officer, Ms. Herrera reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Ericka Herrera may be reached at 855-663-9584.